

**PATENT** Atty. Dkt. No. ABI1460-3 (071243-1317)

CERTIFICATE OF MAILING

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> Stephen E. Reiter. Reg. No. 31,192 (Printed Name)

## THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Desai et al.

Title:

**COMPOSITIONS AND** 

METHODS FOR TREATMENT

OF HYPERPLASIA

Appl. No.:

09/847,945

Filing Date: May 2, 2001

Examiner:

Gollamudi

Art Unit:

1616

COMMISSIONER FOR PATENTS P O BOX 1450 ALEXANDRIA VA 22313 1450

#### **IDS TRANSMITTAL**

Sir:

Transmitted herewith for the above-identified application please find:

- (1) Information Disclosure Statement.
- (2) Completed Form PTO/SB/08
- (3) Copies of the cited reference A1-A8
- (4) A check in the amount of \$180.00.
- (5) Postcard

In re Application of:

Desai and Soon-Shiong

Application No.: 09/847,945

Page 2

**PATENT** Atty. Dkt. No.: ABI1460-3

(071243-1317)

A check in the amount of \$180.00 is enclosed. The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 CFR §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 50-0872. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, postdated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 50-0872.

Respectfully submitted,

**FOLEY & LARDNER LLP** 

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Stephen E. Reiter

Attorney for Applicant

Registration No. 31,192

# THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Desai et al.

Title:

COMPOSITIONS AND

METHODS FOR TREATMENT

OF HYPERPLASIA

Appl. No.:

09/847,945

Filing Date: 05/02/2001

Examiner:

S. Gollamudi

Art Unit:

1616

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CERTIFICATE OF MAILING

Stephen E. Reiter

(Printed Name)

# **INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.56**

Mail Stop Amendment-IDS Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Submitted herewith on Form PTO/SB/08 is a listing of documents known to Applicants in order to comply with Applicants' duty of disclosure pursuant to 37 CFR §1.56. The documents were cited by the Examiner in related applications 09/446,783, filed May 16, 2000;; 09/628,387, filed August 1, 2000; 09/628,389, filed August 1, 2000; and 10/345,031, filed January 14, 2003.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a prima facie art reference against the claims of the present application.

12/30/2004 MBERHE

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In re application of Desai et al.

Application No.: 09/847,945

## TIMING OF THE DISCLOSURE

The listed documents are being submitted in compliance with 37 CFR §1.97(c), before the mailing date of either a final action under 37 CFR §1.113, a notice of allowance under 37 CFR §1.311, or an action that otherwise closes prosecution in the application.

### RELEVANCE OF EACH DOCUMENT

All of the documents are in English.

Applicants respectfully request that any listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

#### FEE

A fee in connection with submission of an information disclosure statement under 37 CFR §1.97(c) in the amount of \$180.00 in accordance with 37 CFR §1.17(p) is attached.

In re application of

Desai et al.

Application No.: 09/847,945

Atty. Dkt. No. ABI1460-3 (071243-1317)

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 CFR §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 50-0872. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 50-0872.

Respectfully submitted,

**FOLEY & LARDNER LLP** 

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Stephen E. Reiter Attorney for Applicant Registration No. 31,192

MODIFIED PTO/SB/08 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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	Substitute for form 1449B/PTO PE			Complete if Known		
	INFORMATIO	N DISCLQ	SURE (3)	Application Number	09/847,945	·
	STATEMENT	ВҮ АРРЦІ	CANT	Filing Date	May 2, 2001	
		í	UEC & B Comment	First Named Inventor	Desai	
		/		Group Art Unit	1616	
	(use as many sh	eets as ne	Sesary)	Examiner Name	Gollamudi	
Sheet	1	of	1 THATE	Attorney Docket Number	ABI1460-3 (071243-1317)	

				U.S. PATENT DOCUMENTS	S	
Examiner Initials*	Cite No.1	U.S. Patent Document			Date of Publication of	Pages, Columns, Lines, Where Relevant
		Number	Kind Code <sup>2</sup> (if known)	Name of Patentee or Applicant of Cited Document	Cited Document MM-DD-YYYY	Passages or Relevant Figures Appear
	A1	5415869		Straubinger et al.	05-16-1995	
	A2	5439686		Desai	08-08-1995	
	A3	5700471		End et al.	12-23-1997	
	A4	5741522		Violante et al.	04-21-1998	
	A5	6096331		Desai	08-01-2000	
	A6	6197349		Westesen et al.	03-06-2001	
	A7	6506405		Desai	01-14-2003	

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or	Date of Publication of Cited Document	Pages, Columns, Lines, Where Relevant	
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)	Applicant of Cited Documents	MM-DD-YYYY	Passages or Relevant Figures Appear	T <sup>6</sup>
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NON PATENT LITERATURE DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>6</sup>			
	A8	Bartoli et al., In vitro and in vivo antitumoral activity of free, and encapsulated taxol. Journal of Micro., 7:191-197, April-June 1990.				

Examiner Signature	Date Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WiPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>8</sup>Applicant is to place a check mark here if English language Translation is attached.